

ARIZONA REGISTRAR OF CONTRACTORS



Form RC-L-201**G**

OWNERSHIP/PERSONNEL UPDATE/REMOVE FORM

(TO UPDATE/REMOVE PERSONNEL AND CONTACT INFO)

INSTRUCTIONS

Use this Ownership/Personnel Form to update contact information or remove owners and personnel from licenses.

To complete this form, enter all information into the fields below, sign the form in the appropriate area, and click the Finish button. The form will automatically be routed to the appropriate ROC staff member.

TIERED ENTITIES

If you are removing an entity as an owner/member you must submit the Tiered Organizational Chart here:

REMOVAL OF A QUALIFYING PARTY

If the Qualifying Party is being removed as a member/manager, owner, or director/officer and must also be removed as a Qualifying Party, then you must submit the Qualifying Party Disassociation Form as well.

AGENCY DISCLOSURE

Pursuant to A.R.S. § 41-1030(G), the Registrar provides the following disclosures:

- A.R.S. § 41-1030(B): An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.
- A.R.S. § 41-1030(D): This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.
- A.R.S. § 41-1030(E): A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency's adopted personnel policy.
- A.R.S. § 41-1030(F): This section does not abrogate the immunity provided by § 12-820.01 or 2-820.02.



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PART 1: LICENSE INFORMATION

NAME OF LICENSED ENTITY						
1 Business Name or Sole Proprietor's Full Name, including DBA if applicable	2. License Numbers for this entity (Separate multiple licenses using commas)					

PART 2: PERSONNEL INFORMATION

Personnel Information: Enter the information for the person being added to or removed from the license(s). This may also be used to amend an existing person's information (e.g. name, current ownership percentage, and title/position).								
1. Name as it appears on your government issued ID								
2. Update Remove		3. Current Ownership Percentage		4. Title or Position				
5. Date of Birth (MM/DD/YYYY)	6. Drive	r's License or Government ID (State and Number) 7. Social Security Number						
8. Residential Address		City		City	State Zip		Zip	
9. Phone Number		10. Email Address						
CONSENT				SELECT ALL THAT APPLY				
I consent to receive notifications from the Registrar at the contact information provided above via Email Text Me					ext Message			
"Notifications" include renewal notices and monthly newsletters. By consenting to receive notifications via text or email, you will not be excluded from receiving notifications by regular mail.								
Background Check Upload		Governmen	nt Issued Identification	Upload	Tiered	Entity Chart Upload		



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PART 3: SIGNATURES

Authorized Representative

An authorized person must sign this form. An **authorized person** is an individual that is already listed on the license as an owner or operator. If an authorized person does not sign this form, the Registrar will not accept this request.

I acknowledge that I am a Person named on this license for the purposes and duties of all Registrar statutes and rules, including, but not limited to, A.R.S. §§ 32-1122, 32-1139(B) & 32-1154.

I certify that I have reviewed the entire contents of this application and all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate. I understand that falsification of any information on this application is a violation of the Arizona Criminal Code in Arizona Revised Statutes, Title 13, Chapter 27. I understand that pursuant to A.R.S. §§ 2-1154(A)(5)(19) & 32-1122(D) providing false information

is cause for denial of this application and cause for discipline of ROC licenses.

I authorize the personnel listed in Part 2 to be added to or removed from the entity noted in Part 1.

Print Name

Signature

Date

Owner or Personnel Being Updated/Removed

The person listed in Part 2: Personnel Change/Information must sign this application.

I certify that I have reviewed the entire contents of this application and all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and accurate. I understand that falsification of any information on this application is a violation of the Arizona Criminal Code in Arizona Revised Statutes, Title 13, Chapter 27. I understand that pursuant to A.R.S. §§ 32-1154(A)(5)(19) & 32-1122(D) providing false information

is cause for denial of this application and cause for discipline of ROC licenses.

I acknowledge I will be a person named on this license for the purposes and duties of all Registrar statutes and rules, including, but not limited to, A.R.S. §§ 32-1122, 32-1139(B) & 32-1154. These purposes and duties include liability for violations arising out of or relating to agreements that were entered into, monies received, or work performed while I am named on this license.

I understand that because A.R.S. § 32-1155(A) and A.R.S § 32-1162(A) establishes a two-year period for the filing of complaints, my liability for violations of Registrar statutes and rules continues for up to two years after I am removed from this entity.

By submission of this application, I consent to a criminal background investigation pursuant to A.R.S. § 32-1122(H).					
Print Name	Signature	Date			

1700 W. Washington Street, Suite 105 ● Phoenix AZ 85007-2812 602.542.1525 ● Toll Free 877.692.9762 ● roc.az.gov